

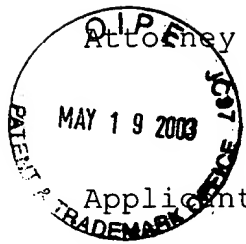
05-80-3

2653

#5

06-06-03

S-I



Attorney Docket No. 01471/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Kenichi NEGORO et al
Serial No. : 09/925,675
Filed : August 10, 2001
For : THIN OPTICAL PICKUP UNIT
COMPRISING ONLY GENERAL-
PURPOSE OPTICAL PARTS
Art Unit : 2653
Examiner :

Express Mail Mailing Label
No.: EV 338 328 690 US
Date of Deposit: May 19, 2003
I hereby certify that this paper is
being deposited with the United States
Postal Service "Express Mail Post Office to
Addressee" service with sufficient
postage under 37 CFR 1.10 on the date
indicated above and is addressed to the
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450

S. Dianne Franklin

In the event that this Paper is late filed,
and the necessary petition for extension of
time is not filed concurrently herewith,
please consider this as a Petition for the
requisite extension of time, and to the
extent not tendered by check attached
hereto, authorization to charge the
extension fee, or any other fee
required in connection with this Paper
to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of Search Report issued in the counterpart
European application;
- (2) Copies of the cited publications; and
- (3) Forms PTO/SB/08A and PTO/SB/08B. It is requested that
initialed copies of the Forms PTO/SB/08A and PTO/SB/08B
be returned to indicate that the publications listed
therein have all been considered and made of record.

Said communication is in English, thereby satisfying the
requirements for a concise explanation of relevance for any
non-English language publications cited therein
(MPEP 609 III A(3)).

It is noted that Japanese 10-177733, cited in the European
Search Report, was already submitted to the U.S. Patent Office
with the Information Disclosure Statement filed August 10, 2001,
concurrently with the filing of the original application.

RECEIVED

MAY 21 2003

Technology Center 2600

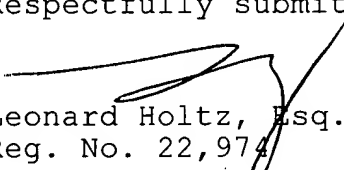
It is further noted that Japanese 8-36779, filed with the Information Disclosure Statement of August 10, 2001, corresponds to USP 5,592,460 which was cited in the enclosed European Search Report. See the listing of family members in the enclosed European Search Report.

STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of March 3, 2003. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is respectfully requested that the attached publications be considered and made of record.

Respectfully submitted,


Leonard Holtz, Esq.
Reg. No. 22,974

Encls.

Dated: May 19, 2003

Frishauf, Holtz, Goodman & Chick, P.C.
767 Third Avenue, 25th Floor
New York, New York 10017-2023
Tel. No. (212) 319-4900
Fax. No. (212) 319-5101
LH/sdf
sdf/c:\d:\01-4\01471.ids